#### Neuromanifolds

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WALLENBERG AL. AUTONOMOUS SYSTEMS AND SOFTWARE PROGR



IFTELSE

#### based on joint works with

Joan Bruna Nathan Henry Giovanni Marchetti Stefano Mereta NYU Univ. of Toronto KTH KTH Guido Montúfar Vahid Shahverdi Matthew Trager UCLA, MPI MiS Leipzig KTH Amazon



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 $\mathcal{M} = \operatorname{im}(\mu) = \operatorname{neuromanifold}$ 

it is a manifold with boundary and singularities

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## training a network

Given training data  $\mathcal{D}$ , the goal is to minimize the loss

 $\mathcal{M}$ 

 $\mathcal{D}$ 

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#### Geometric questions:

 How does the network architecture affect the geometry of the function space?

 How does the geometry of the function space impact the training of the network?

For piecewise algebraic activation, the neuromanifold is a semi-algebraic set (defined by polynomial equalities and inequalities).

Marchetti, Shahverdi, Mereta, Trager, K.: Algebra Unveils Deep Learning - An Invitation to Neuroalgebraic Geometry. ICML 2025: Spotlight & Position Paper

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Examples:	identity		
	ReLU		
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Any activation function can be approximated by polynomial ones. Any neuromanifold can be approximated by polynomial ones.

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Examples:	identity	squared-error loss	= Euclidean dist
	ReLU	Wasserstein distance	= polyhedral dist.
	polynomial	cross-entropy	$\cong$ KL divergence

If the loss is also algebraic (or has at least algebraic derivatives), network training is an algebraic optimization problem. Marchetti, Shahverdi, Mereta, Trager, K.: Algebra Unveils Deep Learning - An Invitation to Neuroalgebraic Geometry. ICML 2025: Spotlight & Position Paper

### baby example: linear dense networks



In this example:

 $\begin{array}{l}
\mu: \mathbb{R}^{2\times 4} \times \mathbb{R}^{3\times 2} \longrightarrow \mathbb{R}^{3\times 4}, \\
(W_1, W_2) \longmapsto W_2 W_1.
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In general:

$$\mu: \mathbb{R}^{k_1 \times k_0} \times \mathbb{R}^{k_2 \times k_1} \times \ldots \times \mathbb{R}^{k_L \times k_{L-1}} \longrightarrow \mathbb{R}^{k_L \times k_0},$$
$$(W_1, W_2, \ldots, W_L) \longmapsto W_L \cdots W_2 W_1.$$

 $\mathcal{M} = \{W \in \mathbb{R}^{k_L \times k_0} \mid \operatorname{rank}(W) \le \min(k_0, \ldots, k_L)\}$  is an algebraic variety and we know its singularities etc.

#### example: attention networks

A single-layer lightning self-attention network with weights  $Q, K \in \mathbb{R}^{a \times d}$  and  $V \in \mathbb{R}^{d' \times d}$  is

 $\mathbb{R}^{d \times t} \longrightarrow \mathbb{R}^{d' \times t},$  $X \longmapsto VX \ X^\top K^\top Q X.$ 

Marchetti, K.: Geometry of Lightning Self-Attention: Identifiability and Dimension. ICLR 2025 5 / 2

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A slice of the 5-dimensional neuromanifold  $\mathcal{M}$  for a = d = t = 2, d' = 1.

It is singular along the orange curve, and has boundary points where the curve leaves/enters  $\mathcal{M}$ .

Henry, Marchetti, K.: Geometry of Lightning Self-Attention: Identifiability and Dimension. **ICLR 2025** 

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It is not a variety, but a semialgebraic set.

Geometry of Lightning Self-Attention: Identifiability and Dimension. ICLR 2025 5

## a dictionary

#### machine learning

algebraic geometry

sample complexity & expressivity dimension, degree, covering number subnetworks & implicit bias singularities identifiability & hidden symmetries fibers of the parametrization optimization & gradient descent critical point theory, discriminants, dynamical invariants

The dimension of the neuromanifold  $\mathcal{M}$  measures how many functions can be exactly expressed by the network.

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The degree of an algebraic variety is the number of intersections (over  $\mathbb{C}$ ) with a generic linear space (of the correct dimension).

It measures how curvy/twisted the variety is.





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$$\log \mathcal{N}_{arepsilon}(\mathcal{M}) = \mathcal{O}\left(\dim(\mathcal{M}) \cdot \log rac{\mathrm{degree}(\mathcal{M})}{arepsilon} + \mathcal{C}
ight)$$

(cf. Weyl's Tube Formula)

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#### relation to sample complexity:

the number of data samples required to infer the function that best approximates the distribution of data (with high probability, and within a given generalization loss margin  $\varepsilon$ ) scales logarithmically in  $\mathcal{N}_{\varepsilon}(\mathcal{M})$ .



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#### relation to approximative expressivity:



the volume of the  $\varepsilon$ -tube around  $\mathcal{M}$  measures how many functions can be approximated within an error of  $\varepsilon$ . it is  $\leq \mathcal{N}_{\varepsilon}(\mathcal{M}) \cdot \operatorname{vol}(\text{ball of radius } 2\varepsilon)$ 

#### Takeaway

Dimension and degree are the most fundamental invariants of an algebraic neuromanifold.

They control metric quantities such as covering numbers, which in turn measure approximate expressivity and sample complexity.



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Potential explanation for *lottery ticket hypothesis*: the tendency of deep networks to discard weights during learning.

A singularity might, depending on its type, attract a large portion of the ambient space during training – explaining implicit bias.

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#### voronoi cells

Given a set  $\mathcal{M} \subseteq \mathbb{R}^n$ , the Voronoi cell of  $x \in \mathcal{M}$  consists of all  $u \in \mathbb{R}^n$  such that x is "closest" among all points in  $\mathcal{M}$ .



 ${\mathcal M}$  might be finite

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or a manifold, variety, semi-algebraic set, etc.

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 $\mathcal{M} \subseteq \mathbb{R}^2$  is the purple curve loss = Euclidean distance

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2-dimensional, i.e., that point is the closest with positive probability

#### Takeaway

Singularities of the neuromanifold can introduce implicit biases in the learning process.

They often correspond to subnetworks, favoring the selection of simpler models.



of polynomial networks that are fully-connected (MLP) or convolutional (CNN) correspond to subnetworks



MLP singularities cause implicit bias,

CNN singularities don't

Shahverdi, Marchetti, K.: Learning on a Razor's Edge – the Singularity Bias of Polynomial Neural Networks. **preprint 2025** 

Recall: The neuromanifold is the image of parametrization map

$$\mu: \mathbb{R}^{N} \longrightarrow \mathcal{M},$$
$$\theta \longmapsto f_{L,\theta} \circ \ldots \circ f_{1,\theta}.$$

Identifiability / hidden symmetries: Which network parameters give rise to the same function?

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#### fiber-dimension theorem:

The dimension of the image of an algebraic map equals the co-dimension of its generic fiber. (nonlinear version of rank-nullity theorem

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More generally: All geometric features of the neuromanifold are caused by  $\mu$ .

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More generally: All geometric features of the neuromanifold are caused by  $\mu$ .

For instance, singularities on  $\mathcal{M}$  can arise in 2 ways:

ullet from critical points of  $\mu$ 

 $\blacklozenge$  from special (i.e., non-generic) fibers of  $\mu$ 

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The singularities correspond to subnetworks.

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#### comparison: polynomial MLPs

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**Theorem:** Let activation  $\sigma$  be a generic polynomial of large degree. For a generic function  $f \in \mathcal{M}$ , the fiber  $\mu^{-1}(f)$  is finite.

Infinite fibers exist.

They cause rank drop of the Jacobian and singularities with implicit bias.



## comparison: lightning self-attention

A single-layer lightning self-attention network with weights  $Q, K \in \mathbb{R}^{a \times d}$  and  $V \in \mathbb{R}^{d' \times d}$  is

 $\begin{array}{c} \mathbb{R}^{d \times t} \longrightarrow \mathbb{R}^{d' \times t}, \\ X \longmapsto VX \ X^\top K^\top QX. \end{array}$ 

The neuromanifold is semialgebraic but not a variety (polynomial inequalities needed!)

It has both nodal and cuspidal singularities.

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cusps ⇔ boundary points ⇔ Jacobian rank drops

**Theorem:** For generic  $f \in \mathcal{M}$ , the only symmetries in the fiber  $\mu^{-1}(f)$  are the "obvious" ones:

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- GL(a)-symmetries of K and Q in each layer
- GL(d)-symmetries of V and K<sup>⊤</sup>Q of neighboring layers

Takeaway

Fibers of the parameterization control the dimension and symmetries of the neuromanifold.

Together with the parameterization's critical points, they explain the singularities of the neuromanifold.



#### critical point theory & discriminants Goal: minimize the loss

 $\mathcal{L}_{\mathcal{D}}: \mathbb{R}^{N} \xrightarrow{\mu} \mathcal{M} \xrightarrow{\ell_{\mathcal{D}}} \mathbb{R}.$ 

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Critical points of  $\mathcal{L}_{\mathcal{D}}$  arise in various ways:

1. they can be caused by the parametrization  $\mu$ (i.e.,  $\theta \in \operatorname{Crit}(\mu)$  such that  $\theta \in \operatorname{Crit}(\mathcal{L}_{\mathcal{D}})$  but  $\mu(\theta) \notin \operatorname{Crit}(\ell_{\mathcal{D}})$ )

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over  $\mathbb{C}$ : always 4 critical points over  $\mathbb{R}$ : 4 or 2 critical points <u>discriminant</u> = dashed



## critical point theory, discriminants, dynamical invariants

#### Takeaway

The critical points of the loss arise from the geometry of the neuromanifold and its parametrization.

Their number and type can change suddenly as data crosses discriminants.

Moreover, algebraic invariants of gradient flow govern the training dynamics...



#### many future questions

- Describe all singularities of MLPs & attention neuromanifolds explicitly, and compute their Voronoi cells. (~> implicit bias?)
- Compare the type of critical points and more generally the loss landscape of
  - attention networks
  - polynomial convolutional networks
  - polynomial MLPs
- How do skip connections and inhomogeneous activations regularize µ (i.e., less spurious critical points) and smoothen out singularities?
- What happens to the neuromanifold when imposing group equivariance?
- What about ReLU networks, or more generally piecewise rational activation?
- Beyond algebraic geometry: tame geometry of o-minimal structures

#### thanks for your attention!

#### machine learning

#### algebraic geometry

sample complexity & expressivity subnetworks & implicit bias identifiability & hidden symmetries optimization & gradient descent

singularities fibers of the parametrization critical point theory, discriminants, dynamical invariants

dimension, degree, covering number

#### An Invitation to Neuroalgebraic Geometry

Giovanni Luca Marchetti \*1 Vahid Shahverdi \*1 Stefano Mereta \*1 Matthew Trager \*2 Kathlén Kohn \*1

#### Abstract

In this expository work, we promote the study of function spaces parameterized by machine learning models through the lens of algebraic geometry. To this end, we focus on algebraic models, such as neural networks with polynomial activaitons, whose associated function spaces are semialgebraic varieties. We outline a dictionary between algebro-geometric invariants of these varieties, and fundamental aspects of machine learning, such as sample complexity, expressivity, training dvanamics, and implicit bais.



Figure 1. A neural variation of a celebrated doodle from the algebraic geometry literature (Grothendieck, 1968).



studies nonlinear models in finite-dimensional ambient space aims to draw conclusions in the limit



studies linearized models in ∞-dimensional ambient space aims to draw conclusions from the limit