Moment Varieties of Measures on Polytopes

joint with Boris Shapiro (Stockholms universitet) and Bernd Sturmfels (UC Berkeley / MPI MiS Leipzig)

May 15, 2019

Moments of a Polytope

- Let $P \subset \mathbb{R}^d$ be a full-dimensional polytope.
- μ_P : uniform probability distribution on P
- moments

$$m_{i_1 i_2 \dots i_d}(P) \ := \ \int_{\mathbb{R}^d} w_1^{i_1} w_2^{i_2} \dots w_d^{i_d} \, \mathrm{d} \mu_P \quad \text{ for } i_1, i_2, \dots, i_d \in \mathbb{Z}_{\geq 0}$$

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Known:

The list of all moments $(m_{\mathcal{I}}(P) \mid \mathcal{I} \in \mathbb{Z}_{\geq 0}^d)$ uniquely encodes P.

 \rightsquigarrow Can recover P from its moments.

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Caution: The moments are not independent of each other.

Our Goal:

Study the dependencies among the moments!



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 - \leadsto For every combinatorial type $\mathcal P$ and every finite subset $\mathcal A\subset\mathbb Z^d_{\geq 0}$, we have a rational function

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- Moment variety

$$\mathcal{M}_{\mathcal{A}}(\mathcal{P}) := \overline{m_{\mathcal{P},\mathcal{A}}\left(\mathbb{C}^{d imes n}
ight)} \subset \mathbb{P}_{\mathbb{C}}^{|\mathcal{A}|-1}$$

• Let $P = [a, b] \subset \mathbb{R}^1$

$$\Rightarrow m_i(P) = m_i(a,b) = \frac{1}{b-a} \int_a^b w^i \, dw = \frac{1}{i+1} \frac{b^{i+1} - a^{i+1}}{b-a}$$
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$$\Rightarrow m_{\mathsf{LineSegments},\{0,1,...,r\}} : \mathbb{C}^2 \dashrightarrow \mathbb{P}^r,$$

$$(a,b) \longmapsto (m_0(a,b) : m_1(a,b) : ... : m_r(a,b))$$

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ullet $\mathcal{M}_{\{0,1,\ldots,r\}}(\mathsf{LineSegments})$ is a surface in \mathbb{P}^r



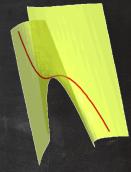


Moment surface $\mathcal{M}_{\{0,1,2,3\}}(\mathsf{LineSegments}) \subset \mathbb{P}^3$ in affine chart $\{m_0=1\}$

• Defined by $2m_1^3 - 3m_0m_1m_2 + m_0^2m_3 = 0$







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The moment surface $\mathcal{M}_{\{0,1,\ldots,r\}}(\mathsf{LineSegments}) \subset \mathbb{P}^r$

- has degree $\binom{r}{2}$
- ullet and its prime ideal is generated by the 3 imes 3 minors of

$$\begin{pmatrix} 0 & m_0 & 2m_1 & 3m_2 & 4m_3 & \cdots & (r-1)m_{r-2} \\ m_0 & 2m_1 & 3m_2 & 4m_3 & 5m_4 & \cdots & r & m_{r-1} \\ 2m_1 & 3m_2 & 4m_3 & 5m_4 & 6m_5 & \cdots & (r+1)m_r \end{pmatrix}.$$

These cubics form a Gröbner basis.

One-Dimensional Moments

Let \mathcal{P} be any combinatorial type of simplicial polytopes in \mathbb{R}^d with n vertices, and let $\mathcal{A} = \{(0,0,\ldots,0),(1,0,\ldots,0),\ldots,(r,0,\ldots,0)\}.$

One-Dimensional Moments

Let \mathcal{P} be any combinatorial type of simplicial polytopes in \mathbb{R}^d with n vertices, and let $\mathcal{A} = \{(0,0,\ldots,0),(1,0,\ldots,0),\ldots,(r,0,\ldots,0)\}.$

Theorem (K., Shapiro, Sturmfels)

 $\mathcal{M}_{\mathcal{A}}(\mathcal{P})$ has degree $\binom{r-n+d+1}{n}$ and its prime ideal is generated by the maximal minors of the Hankel matrix

$$\begin{pmatrix} c_0 & c_1 & \cdots & c_n & c_{n+1} & \cdots & c_{r+d-n} \\ c_1 & c_2 & \cdots & c_{n+1} & c_{n+2} & \cdots & c_{r+d-n+1} \\ \cdots & \cdots & \cdots & \cdots & \cdots \\ c_n & c_{n+1} & \cdots & c_{2n} & c_{2n+1} & \cdots & c_{r+d} \end{pmatrix},$$

where
$$c_0=c_1=\ldots=c_{d-1}=0$$
 and $c_{i+d}={d+i\choose d}m_{i0\ldots 0}$ for $i=0,1,\ldots,r$.

These minors form a reduced Gröbner basis with respect to any antidiagonal term order, with initial monomial ideal $\langle m_{n-d}, m_{n-d+1}, \dots, m_{r-n} \rangle^{n+1}$.



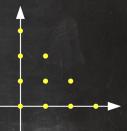
Let \mathcal{A} be as shown on the right.

The moment variety $\mathcal{M}_{\mathcal{A}}(\triangle) \subset \mathbb{P}^9$ has dimension 6 and degree 30.



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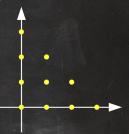
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Its ideal is homogeneous with respect to the natural \mathbb{Z}^3 -grading given by $\operatorname{degree}(m_{i_1i_2})=(1,i_1,i_2).$

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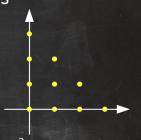


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The \mathbb{Z}^3 -degrees of the minimal generators of its prime ideal are (4,2,3),(4,3,2),(4,2,4),(4,3,3),(4,3,3),(4,4,2),(4,3,4),(4,4,3),(6,6,6).

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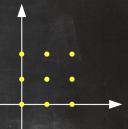
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The ideal generator of degree (4, 2, 3) equals

$$3m_{02}m_{10}^2m_{01} - 6m_{11}m_{10}m_{01}^2 + 3m_{20}m_{01}^3 - m_{03}m_{10}^2m_{00} + 4m_{11}^2m_{01}m_{00} + m_{21}m_{02}m_{00}^2 - 4m_{20}m_{02}m_{01}m_{00} + 2m_{12}m_{10}m_{01}m_{00} - m_{21}m_{01}^2m_{00} + m_{03}m_{20}m_{00}^2 - 2m_{12}m_{11}m_{00}^2.$$

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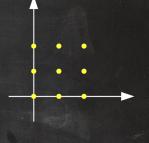
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 $m_{\square,\mathcal{A}}:\mathbb{C}^{2\times 4} \dashrightarrow \mathbb{P}^8$ is generically 80-to-1.



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The dihedral group of order 8 acts on each fiber.

 \leadsto Each fiber consists of 10 "quadrilaterals".

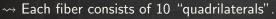


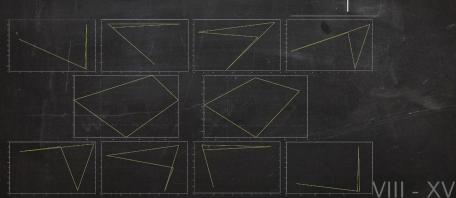
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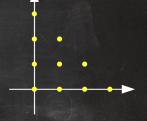
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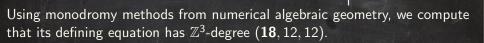
Can we compute the moment hypersurface $\mathcal{M}_{\mathcal{A}}(\square) \subset \mathbb{P}^9$?



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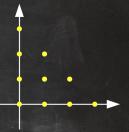
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Using monodromy methods from numerical algebraic geometry, we compute that its defining equation has \mathbb{Z}^3 -degree (18, 12, 12).

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The defining equation of $\mathcal{M}_{\mathcal{A}}(\square)$ is invariant under the natural action of the affine group Aff_2 .

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Goal:

- lacktriangle Compute the invariant ring $\mathbb{R}[m_{\mathcal{I}} \mid \mathcal{I} \in \mathcal{A}]^{\mathrm{Aff}_2}$
- Express the defining equation of $\mathcal{M}_A(\square)$ in these invariants.



The Invariant Ring of the Affine Group

Theorem:

The invariant ring $\mathbb{R}[m_{\mathcal{I}} \mid |\mathcal{I}| \leq r]^{\mathrm{Aff}_d}$ is isomorphic to the ring of **covariants** of a homogeneous polynomial of degree r in d+1 variables. This isomorphism maps the covariants of

$$f(m, u) = \sum_{\mathcal{I}: |\mathcal{I}| \leq r} {r \choose \mathcal{I}, r - |\mathcal{I}|} \cdot m_{\mathcal{I}} \cdot (u_1, u_2, \dots, u_d)^{\mathcal{I}} u_0^{r - |\mathcal{I}|}$$

to invariants of Aff_d via $u_0\mapsto 1$ and $u_i\mapsto 0$ for $i=1,2,\ldots,d$.

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Example (d = 1, r = 3):

The binary cubic $f(m, u) = m_3 u_1^3 + 3 m_2 u_1^2 u_0 + 3 m_1 u_1 u_0^2 + m_0 u_0^3$ has the classically known covariants:

- f
- ♦ the Hessian of f
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- → m₀
- $\bullet m_0 m_2 m_1^2$
- $\bullet m_0^2 m_3 3m_0 m_1 m_2 + 2m_1^3$



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- Defined by $2m_1^3 3m_0m_1m_2 + m_0^2m_3 = 0$
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Covariants of a Ternary Cubic

(d = 2, r = 3)

$$f(m, u) = m_{30}u_1^3 + 3m_{21}u_1^2u_2 + 3m_{20}u_1^2u_0 + 3m_{12}u_1u_2^2 + 6m_{11}u_1u_2u_0 + 3m_{10}u_1u_0^2 + m_{03}u_2^3 + 3m_{02}u_2^2u_0 + 3m_{01}u_2u_0^2 + m_{00}u_0^3$$

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has 6 fundamental covariants.

Replacing $(u_0, u_1, u_3) \mapsto (1, 0, 0)$ yields six fundamental affine invariants:

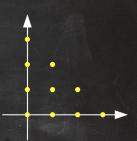
affine invariant
$$m_{00}$$
 s t h g j \mathbb{Z}^3 -degree $(1,0,0)$ $(4,4,4)$ $(6,6,6)$ $(3,2,2)$ $(8,6,6)$ $(12,9,9)$ # terms 1 25 103 5 168 892



Let
$$\mathcal{A} := \{ \mathcal{I} \in \mathbb{Z}^2_{>0} \mid |\mathcal{I}| \leq 3 \}.$$

The defining equation of the moment hypersurface $\mathcal{M}_A(\square) \subset \mathbb{P}^9$ has \mathbb{Z}^3 -degree $(\mathbf{18}, 12, 12)$.

It is an Aff_2 -invariant.

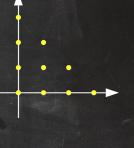


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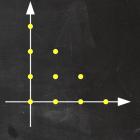


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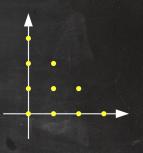
We use the moments of various random quadrilaterals to interpolate.

Let
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The defining equation of the moment hypersurface $\mathcal{M}_4(\square) \subset \mathbb{P}^9$ has \mathbb{Z}^3 -degree (18, 12, 12).

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We use the moments of various random quadrilaterals to interpolate.

The hypersurface
$$\mathcal{M}_{\mathcal{A}}(\square) \subset \mathbb{P}^9$$
 is defined by

$$2125764\,h^6\,+\,5484996\,m_{00}^2\,h^4s\,-\,1574640\,m_{00}gh^3\,+\,364500\,m_{00}^3\,h^3t\\ +\,3458700\,m_{00}^4\,h^2s^2\,-\,2041200\,m_{00}^3ghs\,+\,472500\,m_{00}^5\,hst\,-\,122500\,m_{00}^6s^3\,+\,291600\,m_{00}^2g^2\\ -\,135000\,m_{00}^4gt\,+\,15625\,m_{00}^6t^2.$$

This polynomial has 5100 terms in the $m_{i_1 i_2}$.



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12288754756878336m^{16}s^9 - 125913170530271232h^2m^{14}s^8 - 11555266180939776hm^{15}s^7t - 423695444226048m^{16}s^6t^2
  +4239929831616m^{16}s^3t^4-2425179321925632ghm^{13}s^7+767341894828032gm^{14}s^6t-1302706722212675584h^6m^{10}s^6
  -108262506929061888h^5m^{11}s^5t + 673312350928896h^4m^{12}s^4t^2 + 535497484271616h^3m^{13}s^3t^3 + 31959518257152h^2m^{14}s^2t^4
  +440798423040 hm^{15} st^5 + 195936798885543936 gh^3 m^{11} s^6 - 410140620619776 gh^2 m^{12} s^5 t - 412398826108747776 gh^6 m^8 s^3 t
   -2360537593675776ghm^{13}s^4t^2 - 89805332054016gm^{14}s^3t^3 - 486870353365172224h^8m^8s^5 + 6819936693387264h^7m^9s^4t^8
   +29422733985054720h^6m^{10}s^3t^2 + 2782917213290496h^5m^{11}s^2t^3 + 58246341746688h^4m^{12}st^4 - 587731230720h^3m^{13}t^5
+3602104581095424g^2m^{12}s^6 - 157746980481662976gh^5m^9s^5 - 79828890012352512gh^4m^{10}s^4t - 10700934975848448gh^3m^{11}s^3t^2
    +814698134331457536h^9m^7s^3t + 92179893357379584h^8m^8s^2t^2 + 2541749079638016h^7m^9st^3 - 13792092880896h^6m^{10}t^4
+58678654946770944g^2h^2m^{10}s^5 + 16167862146170880g^2hm^{11}s^4t + 705486447968256g^2m^{12}s^3t^2 - 1103687847816200192gh^7m^7s^4
     +13931406950400gh^3m^{11}t^4-44584171418419200gh^5m^9s^2t^2-9685512225m^{\bar{1}6}t^6-1132386035171328gh^4m^{10}st^3
 +7839053087502237696h^{12}m^4s^3+1352219532013338624h^{11}m^5s^2t+51427969540816896h^{10}m^6\underline{s}t^2-\underline{147941222525244}h^9m^7t^3
-3265173504000g^2m^{12}t^4 - 5301992678571900928gh^9m^5s^3 - 984505782412247040gh^8m^6s^2t - 37440870596739072gh^7m^7st^2
  +260713381625856gh^6m^8t^3+7163309458867617792h^{14}m^2s^2+495888540219998208h^{13}m^3\underline{st}-613682107121664h^{12}m^4t^2
-408993036765233152g^3h^5m^5s^2 - 26702361435045888g^3h^4m^6st + 626206231756800g^3h^3m^7t^2 + 1246806603479384064g^2h^{10}m^2s
   -299841218941026304g^3h^7m^3s + 5822326385934336g^3h^6m^4t - 12824703626379264g^2h^{12} + 32389413531025408g^4h^4m^4s
    -6878544743366656g^4h^6m^2 + 1407374883553280g^5h^3m^3 - 109951162777600g^6m^4.
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(IV - XVII

Let $\triangle_d \subset \mathbb{R}^d$ be the \overline{d} -dimensional simplex.

We denote its vertices by $x_k = (x_{k1}, x_{k2}, \dots, x_{kd})$ for $k = 1, 2, \dots, d + 1$.

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Example (d = 1): $\triangle_1 = [a, b] \subset \mathbb{R}^1$

$$\sum_{i=0}^{\infty} (i+1) \cdot m_i \cdot t^i = \frac{1}{(1-at)(1-bt)}$$

Let $P \subset \mathbb{R}^d$ be a simplicial polytope with vertices x_1, x_2, \dots, x_n .

$$\sum_{\mathcal{I} \in \mathbb{Z}_{\geq 0}^d} \binom{|\mathcal{I}| + d}{\mathcal{I}, d} \cdot m_{\mathcal{I}}(P) \cdot t^{\mathcal{I}} = \frac{\mathrm{Ad}_{P}(t)}{\prod_{k=1}^n (1 - \langle x_k, t \rangle)}$$

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